



AUM SECURITIES PRIVATE LIMITED

806/807,8th Floor, Kosha Commercial Complex, Poddar road, near Ramleela maidan, Malad-east, Mumbai-400097 Tel No.: 022-69396620| Depository participant in CDSL registration no: IN-DP-782-2024| SEBI registration: - INZ000173337| CIN No: U99999MH1993PTC137979|

Unpledge Request Form (URF)

Unpledge by Pledgor Unpledge by pledgee Unpledge Rejection

Depository Participant Name /Address/ DP ID

Please fill all the details in **Block Letters** in English

URF No.		Date	D	D	M	M	Y	Y	Y	Y
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I/We request you to set up an Unpledge request on my / our behalf. I / We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to unpledge of securities and I / We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such unpledge requests.

Pledgor's Details																	
DP ID									Client ID								
Pledgor's Name	1.																
	2.																
	3.																

Pledgee's Details																	
DP ID									Client ID								
Pledgor's Name	1.																
	2.																
	3.																

Date of Unpledging	D	D	M	M	Y	Y	Y	Y
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Sr. No	PSN	ISIN	Name of the Security	Total Quantity pledged	Quantity to be unpledged	Accepted / rejected by Pledgee

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

The unpledge request is being set up for the reasons mentioned hereunder: -

**AUM SECURITIES PRIVATE LIMITED**806/807, 8th Floor, Kosha Commercial Complex, Poddar road, near Ramleela maidan, Malad-east, Mumbai-400097 Tel No.: 022-69396620]

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Unpledge Rejection Details	Unpledge Rejection by Pledgor DP	Unpledge Rejection by Pledgee DP
Date of Rejection of Unpledge		
Unpledge Reason [tick mark whichever is applicable]	<input type="checkbox"/> 01 Units not available for Amount requested. <input type="checkbox"/> 02 Closure Date not accepted. <input type="checkbox"/> 03 Pledged Quantity not accepted. <input type="checkbox"/> 04 ISIN not accepted. <input type="checkbox"/> 05 Security details not acceptable. <input type="checkbox"/> 06 ISIN delisted from Trading. <input type="checkbox"/> 07 POA not received from all holders. <input type="checkbox"/> 08 Holders not acceptable to the pledgee. <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. <input type="checkbox"/> 10 Others. <input type="checkbox"/> 11 Transfer Quantity not accepted. <input type="checkbox"/> 12 Violation of terms of agreement. <input type="checkbox"/> 13 Closure Quantity not accepted. <input type="checkbox"/> 14 Pledged amount/margin not paid. <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient.	<input type="checkbox"/> 01 Units not available for Amount requested. <input type="checkbox"/> 02 Closure Date not accepted. <input type="checkbox"/> 03 Pledged Quantity not accepted. <input type="checkbox"/> 04 ISIN not accepted. <input type="checkbox"/> 05 Security details not acceptable. <input type="checkbox"/> 06 ISIN delisted from Trading. <input type="checkbox"/> 07 POA not received from all holders. <input type="checkbox"/> 08 Holders not acceptable to the pledgee. <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. <input type="checkbox"/> 10 Others. <input type="checkbox"/> 11 Transfer Quantity not accepted. <input type="checkbox"/> 12 Violation of terms of agreement. <input type="checkbox"/> 13 Closure Quantity not accepted. <input type="checkbox"/> 14 Pledged amount/margin not paid. <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient.
If you have selected 'Others', then please specify the exact reason for rejection		

I / We declare that the above particulars given by me/ us above are true to the best of my/ our knowledge.

To be filled and signed in case of Set-up/Rejection of Unpledge by Pledgee BO

Signature of the Pledgee		
First/ Sole Applicant	Second Applicant	Third Applicant

To be filled and signed in case of Set-up/Rejection of Unpledge by Pledgor BO

Signature of the Pledgor		
First / Sole Applicant	Second Applicant	Third Applicant

Aum Securities Private Limited

