



**AUM SECURITIES PRIVATE LIMITED**

806/807,8<sup>th</sup> Floor, Kosha Commercial Complex, Poddar road, near Ramleela maidan, Malad-east, Mumbai-400097 Tel No.: 022-69396620  
 Depository participant in CDSL registration no: IN-DP-782-2024| SEBI registration: - INZ000173337| CIN No: U99999MH1993PTC137979|

**Freeze / Unfreeze Request Form**

<b>Depository Participant Name /Address</b>									
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**Please fill all the details in Block Letters in English**

Ref No.		Date	D	D	M	M	Y	Y	Y	Y
<input type="checkbox"/> Freeze	<input type="checkbox"/> BO Account	<input type="checkbox"/> BO ISIN (given ISIN)	Freeze ID (system generated, to entered DP If BO account is frozen)							
<input type="checkbox"/> Unfreeze										

**Account Details**

DP ID		Client ID							
Name of the Sole / First Holder									
Name of Second joint Holder									
Name of Third joint Holder									

**Details of Securities. (To be entered for BO-ISIN freeze)**

Sr. no.	ISIN	Name of the security	Quantity For Partial Freeze	Freeze ID (To be entered by DP)

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Frozen For	<input type="checkbox"/> Debit	<input type="checkbox"/> Credit	<input type="checkbox"/> Both					
Activation Type	<input type="checkbox"/> Current	<input type="checkbox"/> Future						
Freeze Activation Date *	D	D	M	M	Y	Y	Y	Y
Freeze Expiry Date	D	D	M	M	Y	Y	Y	Y
Reason For Freeze								
Freeze Remarks								

\* To be entered for future dated freeze.

I / we declare that the particulars given by me/ us above are true to the best of my/ our knowledge.

<b>Name &amp; Signature of the Account Holder(s)</b>			
	First/ Sole Holder	Second Holder	Third Holder
<b>NAME</b>			
<b>SIGNATURE</b>			

===== (Please Tear Here) =====

**Acknowledgement Receipt**

Received Freeze / Unfreeze request from:

DP ID		Client ID							
Name of the Sole / First Holder									
Name of Second joint Holder									
Name of Third joint Holder									